



04-17-02

MP/AB

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Robert J. Small and Brandon S. Scott  
Assignee: EKC Technology, Inc.  
Title: Catalytic Composition for Chemical-Mechanical Polishing, Method of Using Same, and Substrate Treated With Same  
Serial No.: 10/074,757 Filing Date: February 11, 2002  
Examiner: Unknown Group Art Unit: 2812  
Docket No.: M-12412 US

San Francisco, California  
April 15, 2002

BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION - FILING DATE GRANTED

Sir:

In response to the "Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted" mailed by the United States Patent and Trademark Office on April 1, 2002, the following documents are enclosed to complete the filing of the above-identified patent application:

1. A declaration signed by the inventors in compliance with 37 CFR 1.63; and
2. A copy of Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted.

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 19-2386:

1. Surcharge for filing declaration on a date later than the filing date of the application. \$ 130.00
2. TOTAL FEES: \$ 130.00

The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 19-2386.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above patent application and justify the filing date of February 11, 2002. Please telephone the undersigned at 415-217-6000, if there are any questions. This form is being submitted in duplicate.

EXPRESS MAIL LABEL NO:  
**EV 073 468 868 US**

Respectfully submitted,

*K. Alison de Runtz*  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/074,757	02/11/2002	Robert J. Small	M-12412 US

CONFIRMATION NO. 9276

## FORMALITIES LETTER



\*OC000000007758810\*

SKJERVEN MORRILL MacPHERSON LLP  
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 San Francisco, CA 94111

Date Mailed: 04/01/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

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*A copy of this notice **MUST** be returned with the reply.*

Haimanot Tegbamu

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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